Exemption Request Form

Date of submission:

**1. Name and contact details**

1. **Name and contact details of applicant:**

|  |  |
| --- | --- |
| Company: | Tel.: |
| Name: | E-Mail: |
| Function: | Address: |

1. **Name and contact details of responsible person for this application**

**(if different from above):**

|  |  |
| --- | --- |
| Company: | Tel.: |
| Name: | E-Mail: |
| Function: | Address: |

**2. Reason for application:**

Please indicate where relevant:

Request for new exemption in:

Request for amendment of existing exemption in

Request for extension of existing exemption in

Request for deletion of existing exemption in:

Provision of information referring to an existing specific exemption in:

Annex III  Annex IV

No. of exemption in Annex III or IV where applicable:

Proposed or existing wording:

Duration where applicable:

Other:

**3. Summary of the exemption request / revocation request**

**4.** **Technical description of the exemption request / revocation request**

1. **Description of the concerned application:**
2. To which EEE is the exemption request/information relevant?

Name of applications or products:

* 1. List of relevant categories: (mark more than one where applicable)

1  7

2  8

3  9

4  10

5  11

6

* 1. Please specify if application is in use in other categories to which the exemption request does not refer:
  2. Please specify for equipment of category 8 and 9:

The requested exemption will be applied in

monitoring and control instruments in industry

in-vitro diagnostics

 other medical devices or other monitoring and control instruments than those in industry

1. Which of the six substances is in use in the application/product?

(Indicate more than one where applicable)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Pb | Cd | Hg | Cr-VI | PBB | PBDE |
|  |  |  |  |  |  |

1. Function of the substance:
2. Content of substance in homogeneous material (%weight):
3. Amount of substance entering the EU market annually through application for which the exemption is requested:

Please supply information and calculations to support stated figure.

1. Name of material/component:
2. Environmental Assessment:

LCA:  Yes

No

1. **In which material and/or component is the RoHS-regulated substance used, for which you request the exemption or its revocation? What is the function of this material or component?**

1. **What are the particular characteristics and functions of the RoHS-regulated substance that require its use in this material or component?**

**5.** **Information on Possible preparation for reuse or recycling of waste from EEE and on provisions for appropriate treatment of waste**

1. **Please indicate if a closed loop system exist for EEE waste of application exists and provide information of its characteristics (method of collection to ensure closed loop, method of treatment, etc.)**

1. **Please indicate where relevant:**

Article is collected and sent without dismantling for recycling

Article is collected and completely refurbished for reuse

Article is collected and dismantled:

The following parts are refurbished for use as spare parts:

The following parts are subsequently recycled:

Article cannot be recycled and is therefore:

Sent for energy return

Landfilled

1. **Please provide information concerning the amount (weight) of RoHS sub­stance present in EEE waste accumulates per annum:**

In articles which are refurbished

In articles which are recycled

In articles which are sent for energy return

In articles which are landfilled

**6.** **Analysis of possible alternative substances**

1. **Please provide information if possible alternative applications or alternatives for use of RoHS substances in application exist. Please elaborate analysis on a life-cycle basis, including where available information about independent research, peer-review studies development activities undertaken**

1. **Please provide information and data to establish reliability of possible substitutes of application and of RoHS materials in application**

**7.** **Proposed actions to develop possible substitutes**

1. **Please provide information if actions have been taken to develop further possible alternatives for the application or alternatives for RoHS substances in the application.**

1. **Please elaborate what stages are necessary for establishment of possible substitute and respective timeframe needed for completion of such stages.**

**8.** **Justification according to Article 5(1)(a):**

1. **Links to REACH: (substance + substitute)**
2. Do any of the following provisions apply to the application described under (A) and (C)?

Authorisation

SVHC

Candidate list

Proposal inclusion Annex XIV

Annex XIV

Restriction

Annex XVII

Registry of intentions

Registration

1. Provide REACH-relevant information received through the supply chain.

Name of document:

1. **Elimination/substitution:**
2. Can the substance named under 4.(A)1 be eliminated?

Yes. Consequences?

No. Justification:

1. Can the substance named under 4.(A)1 be substituted?

Yes.

Design changes:

Other materials:

Other substance:

No.

Justification:

1. Give details on the reliability of substitutes (technical data + information):
2. Describe environmental assessment of substance from 4.(A)1 and possible substitutes with regard to
3. Environmental impacts:
4. Health impacts:
5. Consumer safety impacts:

* Do impacts of substitution outweigh benefits thereof?

Please provide third-party verified assessment on this:

1. **Availability of substitutes:**
2. Describe supply sources for substitutes:
3. Have you encountered problems with the availability? Describe:
4. Do you consider the price of the substitute to be a problem for the availability?

Yes  No

1. What conditions need to be fulfilled to ensure the availability?
2. **Socio-economic impact of substitution:**

* What kind of economic effects do you consider related to substitution?

Increase in direct production costs

Increase in fixed costs

Increase in overhead

Possible social impacts within the EU

Possible social impacts external to the EU

Other:

* Provide sufficient evidence (third-party verified) to support your statement:

**9.** **Other relevant information**

**Please provide additional relevant information to further establish the necessity of your request:**

**10. Information that should be regarded as proprietary**

**Please state clearly whether any of the above information should be regarded to as proprietary information. If so, please provide verifiable justification:**